

Battelle*The Business of Innovation*

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OFFICE OF PETITIONS

To: USPTO**From: C. M. Gegenheimer****Fax No: 571-273-8300****Fax No: 614-424-3864****Phone No:****Phone No: 614-424-4293****Company:****Total Pages: 6** (Including Lead Sheet)**Comments: Retroactive Corrections to Entity Fee Payments**

Patent Number: N/A

Issue Date: N/A

Application Number: 10/763,040

Filed: 1/21/2004

Inventor(s): Lipp et al.

Title: Sensor for Detecting Air Flow

Docket No.: 35056-512 - (15896)

Faxed Documents:

Fax Cover Sheet

Transmittal

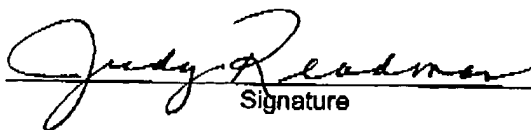
Letter to Correct Entity Fee Payments

Fee Transmittal (in duplicate)

Credit Card Payment Form PTO-2038

Certificate of Transmission under 37 CFR 1.8

I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office at (571)273-8300 on September 14, 2009.


Signature9-14-09
Date

Judy Readman

Typed or printed name of person signing Certificate

Document Description: Transmittal Letter

SEP 14 2009

PTO/SB/21 (07-09)

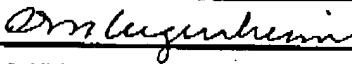
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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	10789,040	RECEIVED SEP 18 2009 OFFICE OF PETITIONS
	Filing Date	1/21/2004	
	First Named Inventor	Lipp et al.	
	Art Unit	3771	
	Examiner Name	Annette F. Dixon	
Total Number of Pages in This Submission	6	Attorney Docket Number	35056-512 - (15896)

ENCLOSURES (Check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Terminal Disclaimer	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Request for Refund	Fax Cover Sheet; Transmittal (this form);
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> CD, Number of CD(s) _____	Letter Regarding Correction of Entity Fees;
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Landscape Table on CD	Credit Card Payment Form PTO-2038
<input type="checkbox"/> Reply to Missing Parts/Incomplete Application	Remarks Fee Transmittal (in duplicate)	
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm Name	Battelle Memorial Institute	
Signature		
Printed name	C. Michael Gegenhelmer	
Date	09/14/2009	Reg. No. 33,387

CERTIFICATE OF TRANSMISSION/MAILING		
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below.		
Signature		
Typed or printed name	Judy Readman	Date 09/14/2009

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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Retroactive Change in Entity Status

PATENT

 2009 SEP 15 PM 4:29
 IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applicant/Assignee: Battelle Memorial Institute	
Serial No: 10/763,040	Primary Examiner: Annette F. Dixon
Date Filed: 1/21/2004	Assistant Examiner:
Patent No.: N/A	Inventors: Lipp, et al.
Date Issued: N/A	
For: Sensor for Detecting Air Flow	Docket No.: 35056-512 - (15896)

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OFFICE OF PETITIONS

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

It has come to our attention that the above-referenced application/patent was prosecuted and maintained in good faith under small entity status, but that such status was established in error. As required under 37 CFR 1.28(c)(1) and (2), this document and the attached payment are submitted as a correction of that error.

Date of Payment	Fee	Amount Paid	Current Fee Amount for Large Entity	Amount Due
1/21/2004	Filing	\$385.00	\$330.00	(\$55.00)
	Search	\$0.00	\$540.00	\$540.00
	Exam	\$0.00	\$220.00	\$220.00
1/21/2004	Claims ≥ 20 (24)	\$216.00	\$1248.00	\$1032.00
1/21/2004	Indep. Claims ≥ 8 (2)	\$86.00	\$440.00	\$354.00
7/25/2007	1-Month Extension	\$60.00	\$130.00	\$70.00
		Total Due		\$2161.00

If there are any questions, please contact the undersigned at 614-424-4293.

Respectfully submitted,

09/17/2009 DALLEN 00000014 10763040

01 FC:1461

2161.00 0P

By:



Name: C. Michael Gegenheimer

Reg. No.: 33,387

Date: 9/14/2009

SEP 14 2009

PTO/SB/17 (10-08)

Approved for use by the USPTO 08/30/2010. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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Effective on 12/08/2004.
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4618).**FEE TRANSMITTAL**
For FY 2009☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 2,161.00

Complete if Known

Application Number	10/763,040
Filing Date	1/21/2004
First Named Inventor	Lipp et al.
Examiner Name	Annette F. Dixon
Art Unit	3771
Attorney Docket No.	35056-512 - (15896)

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METHOD OF PAYMENT (check all that apply)☐ Check ☒ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____☐ Deposit Account Deposit Account Number: _____ Deposit Account Name: _____

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee
☐ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☐ Credit any overpayments

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FEE CALCULATION**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	330	165	540	270	220	110	
Design	220	110	100	50	140	70	
Plant	220	110	330	165	170	85	
Reissue	330	165	540	270	650	325	
Provisional	220	110	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 (including Reissues)	52	26
Each independent claim over 3 (including Reissues)	220	110
Multiple dependent claims	390	195
Total Claims	Extra Claims	Fee (\$)
- 20 or HP = _____ x _____ = _____		
HP = highest number of total claims paid for, if greater than 20.		
Indep. Claims	Extra Claims	Fee (\$)
- 3 or HP = _____ x _____ = _____		
HP = highest number of independent claims paid for, if greater than 3.		
	Multiple Dependent Claims	Fee (\$)
		Fee Paid (\$)

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$270 (\$135 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 = _____	/ 50 = _____	(round up to a whole number) x _____		

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Other (e.g., late filing surcharge): Correction to Entry Fees Paid

Fees Paid (\$)

2,161.00

SUBMITTED BY

Signature	<i>Michael Gegenheimer</i>	Registration No. (Attorney/Agent)	33,387	Telephone	614-424-4293
Name (Print/Type)	C. Michael Gegenheimer			Date	9/14/2009

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

DUPLICATE

SEP 14 2009

PTO/SB/17 (10-08)

Approved for use through 06/30/2010. OMB 0851-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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Effective on 12/08/2004.
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).**FEE TRANSMITTAL**
For FY 2009☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 2,161.00

Complete if Known

Application Number	10/763,040
Filing Date	1/21/2004
First Named Inventor	Lipp et al.
Examiner Name	Annette F. Dixon
Art Unit	3771
Attorney Docket No.	35056-512 - (15896)

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METHOD OF PAYMENT (check all that apply)

☐ Check ☒ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____

☐ Deposit Account Deposit Account Number: _____ Deposit Account Name: _____

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee

☐ Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 ☐ Credit any overpayments

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FEE CALCULATION**1. BASIC FILING, SEARCH, AND EXAMINATION FEES**

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	330	165	540	270	220	110	
Design	220	110	100	50	140	70	
Plant	220	110	330	165	170	85	
Reissue	330	165	540	270	650	325	
Provisional	220	110	0	0	0	0	

2. EXCESS CLAIM FEES**Fee Description**

Each claim over 20 (including Reissues)

Fee (\$)	Small Entity Fee (\$)
52	26

Each independent claim over 3 (including Reissues)

220 110

Multiple dependent claims

390 195

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
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- 20 or HP =

x

=

HP = highest number of total claims paid for, if greater than 20.

Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)
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- 3 or HP =

x

=

HP = highest number of independent claims paid for, if greater than 3.

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Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
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- 100 =

/ 50 =

(round up to a whole number) x

=

4. OTHER FEE(S)

Non-English Specification, \$130 fee (no small entity discount)

Fees Paid (\$)

Other (e.g., late filing surcharge): Correction to Entity Fees Paid

2,161.00

SUBMITTED BY

Signature	<i>C. Michael Gegenheimer</i>	Registration No. (Attorney/Agent)	33,387	Telephone	614-424-4293
Name (Print/Type)	C. Michael Gegenheimer	Date	9/14/2009		

This collection of information is required by 37 CFR 1.138. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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